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100/003 PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Scot A. Reader

Application No. :

Scot A. Reader 09/835,915

Filed

April 16, 2001

Title

METHOD AND APPARATUS FOR IDENTIFYING

PATENT LICENSING TARGETS

Grp./Div.

: 2152

Examiner

Not yet assigned

Docket No.

100/003

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

3424 Woodcliff Road Sherman Oaks, CA 91403 August 27, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP \S 609.

By

Respectfully submitted,

Scot A. Reader Reg. No. 39,002

818.788.6503

Enclosures: PTO-1449, w/references

PE		
FORM PTO-1449 O CON AUG 3 0 2001 AUG	Attorney Docket Number	100/003
1	Application Number	09/835,915
INFORMATION DESCLOSURE	Filing Date	April 16, 2001
STATEMENT BY APPLICANT	Applicant(s)	Scot A. Reader
	Group Art Unit	2152
(use as many sheets as necessary)	Examiner Name	Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINERI NITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT	PUBLICATION	COUNTRY OR			TRANSLA	TION
INITIALS	NUMBER	DATE	PATENT OFFICE	CLASS	SUBCLASS	YES	NO
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	OTHER DOCUMENTS
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	GREGORY J. BATTERSBY & CHARLES L. GRIMES, Licensing Royalty Rates 2001 Ed., 1-19 (2001)
	University of Texas Law School Fifth Annual Adv. Patent Law Institute, Seminar Materials for IP Valuations Panel Discussion, David McCombs, Moderator, 44 pages (Nov. 2000)
	NICK BERTOLOTTI, "Valuing Intellectual Property," Managing Intellectual Property 28-32 (Feb. 1995)
	RICHARD A. NEIFELD, "A Macro-Economic Model Providing Patent Valuation and Patent Based Company Financial Indicators," 83 J.P.T.O.S. 211-22 (Mar. 2001)
	GORDON V. SMITH & RUSSELL L. PARR, Valuation of Intellectual Property and Intangible Assets, 3d Ed., 333-83 (2000)
-	MELVIN SIMENSKY & LANNING G. BREYER, The New Role of Intellectual Property in Commercial Transactions: Recent Trends in the Valuation, Exploitation and Protection of Intellectual Property, 58-61 (1994)
	ROBERT PITKETHLY, "The Valuation of Patents: A Review of Patent Valuation Methods with Consideration of Option Based Methods and the Potential for Further Research," Judge Institute Working Paper WP 21/97 (March 1997)

EXAMINER	DATE
SIGNATURE	CONSIDERED
EXAMINER: Init Include copy of the	tial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

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FORM PTO-1449	Attorney Docket Number	100/003 PEP SEP
AUG 30 2001 A	Application Number	09/835,915
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EXAMINERI NITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLA' YES	TION NO
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Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
KEVIN G. RIVETTE & DAVID KLINE, "Discovering New Value in Intellectual Property," Harvard Business Review Reprint R00109 (JanFeb. 2000)						
"Introducing TAEUSWorks(SM)," www.taeus.com/docs/04-01-taeusworks.html (Apr. 2001)						
e						

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

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